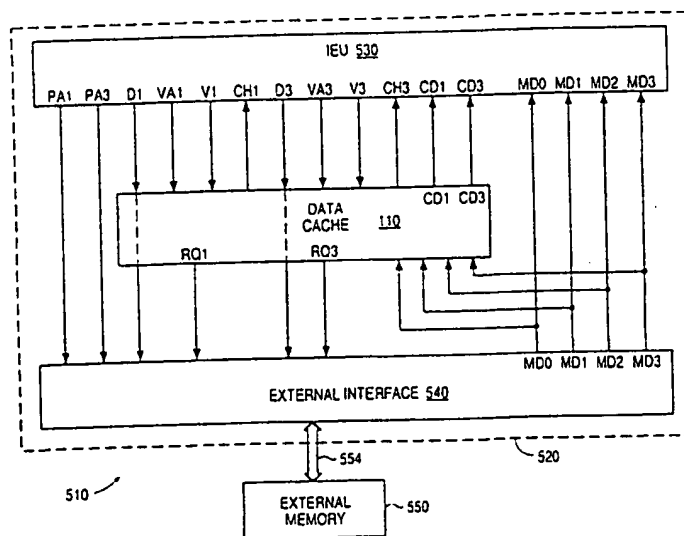




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## (57) Abstract

When cache misses occur simultaneously on two or more ports of a multi-port cache, different replacement sets are selected for different ports. The replacements are performed simultaneously through different write ports. In some embodiments, every set has its own write ports. The tag memory of every set has its own write port. In addition, the tag memory of every set has several read ports, one read port for every port of the cache. For every cache entry, a tree data structure is provided to implement a tree replacement policy (for example, a tree LRU replacement policy). If only one cache miss occurred, the search for the replacement set is started from the root of the tree. If multiple cache misses occurred simultaneously, the search starts at a tree level that has at least as many nodes as the number of cache misses. For each cache miss, a separate node is selected at that tree level, and the search for the respective replacement set starts at the selected node.

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## COMPUTER CACHING METHODS AND APPARATUS

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BACKGROUND OF THE INVENTION

10 The present invention relates to computers, and more particularly to cache memories in computer systems.

Present computer systems use multi-port caches to provide appropriate data flow to execution units of processors that implement instruction level parallelism or to multiple processors. It is desirable to provide faster economical multi-port caches.

SUMMARY

20 The present invention provides fast economical multi-port caches in some embodiments. In some embodiments, the cache is set associative. If cache misses occur on more than one ports simultaneously, different replacement sets are chosen for different cache misses. A separate write port is provided for each set. Therefore, multiple replacements can proceed in parallel. In non-blocking cache embodiments, the performance of a processor or processors using the cache is therefore increased.

30 Since each set has its own write port, the set does not need multiple write ports to allow simultaneous access for different cache misses. The cache cost is therefore reduced.

In some embodiments, the sets are divided into groups of sets. A separate write port (i.e., address decoder) is provided for each group of sets. A separate write strobe is provided for each set. If

simultaneous cache misses occur, replacement sets are selected from different groups. The replacement sets are updated in parallel. Each group of sets does not need multiple write ports to allow simultaneous access  
5 for different cache misses. The cache cost is therefore reduced.

In some embodiments, for each cache entry, a tree data structure is provided to implement a tree replacement policy. If only one cache miss occurred,  
10 the search for the replacement sets starts at the root of the tree. If multiple misses occurred simultaneously, the search starts at a tree level that has at least as many nodes as there were cache misses. For each cache miss, a separate node is selected at  
15 that level; the search for the respective replacement set starts with the selected node.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram illustrating a dual-port  
20 cache and a cache replacement policy according to the present invention.

Fig. 2 is a diagram of a cache block in the cache of Fig. 1.

Fig. 3 is a diagram of an external memory address  
25 of data in the block of Fig. 2.

Fig. 4 is a block diagram of another cache of the present invention.

Fig. 5 is a block diagram of a computer system including a cache of the present invention.

30 Figs. 6A, 6B are a block diagram of a portion of the cache of Fig. 5.

Fig. 7 is a block diagram of steps performed by the cache of Fig. 5.

35 Figs. 8 and 9 are block diagrams of portions of the cache of Fig. 5.

Fig. 10 is a block diagram of a processor including a cache of the present invention.

#### DESCRIPTION OF PREFERRED EMBODIMENTS

5        Fig. 1 illustrates a double-ported four-way set-associative non-blocking cache 110. Cache 110 has four sets 0 through 3, also labeled 120.0 through 120.3. Each set includes a number of blocks 206 (128 blocks in some embodiments). As shown in Fig. 2, each block 206  
10       includes a tag 210, a data block 220, and valid bits 230.

      Data from external memory are placed in cache 110 as follows. The external memory address 304 (Fig. 3) of the data is subdivided into three fields 210, 310 and 320. Tag field 210 is stored in block 206. Index  
15       310 determines the address of block 206 in a set 120.i. The data can be cached in any set 120.i at the slot corresponding to index 310. Index 310 is also called an entry number.

20       Field 320 determines the offset of the data in data block 220.

      All cache blocks 206 having a given entry number form a "cache entry".

      Cache 110 has two ports and thus is suitable for  
25       use in a processor that has two or more channels for memory access. Examples of such processors are 1) very large instruction word (VLIW) processors and 2) superscalar processors. Cache 110 is also suitable for multi-processor systems including single channel  
30       and/or multiple channel processors.

      Cache 110 includes memory that stores bits R0, R1, R2 to implement a tree replacement policy. A separate triple R0, R1, R2 is provided for each cache entry. For each entry, bits R0, R1, R2 implement a tree  
35       structure. R1 and R2 are leaf nodes of the tree. The leaf R1 selects set 0 or set 1 as a replacement set.

More particularly,  $R1 = 0$  selects set 0;  $R1 = 1$  selects set 1. For each cache entry,  $R1$  selects the LRU (least recently used) of sets 0 and 1, that is,  $R1$  selects the LRU of the two cache blocks in the respective entry in sets 0 and 1.

Similarly,  $R2 = 0$  selects set 2, and  $R2 = 1$  selects set 3.  $R2$  selects the LRU of sets 2 and 3.

$R0 = 0$  selects the group of sets 0, 1 (group 0).  $R0 = 1$  selects the group of sets 2, 3 (group 1). For each cache entry,  $R0$  selects the LRU of groups 0, 1. This replacement policy is called herein "tree-LRU".

If a cache miss occurs on one, but not both, of ports 0 and 1, a replacement set is selected as follows. The cache entry is determined from index 310 of the cache-miss address 304. For this cache entry, bits  $R0$ ,  $R1$ ,  $R2$  are examined. If bit  $R0$  selects group 0, then the replacement set is selected by bit  $R1$ . If  $R0$  selects group 1, the replacement set is selected by bit  $R2$ .

If a cache miss occurs on both ports 0 and 1 simultaneously (on the same clock cycle), then different groups of replacement sets are selected for different ports. The replacement set for port 0 is selected by bit  $R1$  for the cache entry corresponding to index 310 on port 0. The replacement set for port 1 is selected by bit  $R2$  for the index 310 on port 1. Bits  $R0$  are ignored. Selection of different sets facilitates simultaneous writing of new information into the replacement sets. In particular, a single write port for each set is sufficient to write the information simultaneously. Moreover, even a single write port address decoder for each of groups 0 and 1 is sufficient.

Fig. 4 illustrates a cache 110 in which different replacement sets are selected for up to  $N$  cache misses. Hence, simultaneous replacements are provided for up to

N cache ports. N can be any integer greater than 1. The sets are divided into N groups. The replacement sets are selected using a tree replacement policy. More particularly, the cache includes trees of data structures  $R_{i,j}$ ,  $i=0, \dots, k$ ;  $j=1, N_1, \dots, N_k=N$ . A separate tree is provided for each cache entry. If a single cache miss occurs, the search for the replacement set starts with the root data structure  $R_{0,1}$ . The search is performed in the tree corresponding to the cache miss index 310. The root structure  $R_{0,1}$  selects one of the structures  $R_{1,1}$  through  $R_{1,N_1}$  at the next tree level. Each data structure  $R_{1,i}$  selects one of structures  $R_{2,1}$  through  $R_{2,N_2}$  at the following tree level, and so on. Each leaf  $R_{k,1}$  through  $R_{k,N}$  selects a replacement set in the corresponding group 1 through N. The tree search proceeds from the root to the leaves in a conventional manner.

If the number M of cache misses occurring in a given clock cycle is greater than 1 but does not exceed  $N_1$ , M tree nodes are selected from nodes  $R_{1,1}$  through  $R_{1,N_1}$ . For each cache miss, the selected node is in the tree corresponding to the cache entry in which the replacement is to be made. Different selected nodes  $R_{1,j}$  have different "j" parameters. M searches occur in parallel starting with the selected nodes. Each search proceeds conventionally in the subtree in which the selected node is the root. Each search results in a separate replacement set.

If the number M of simultaneous cache misses is greater than  $N_1$  but does not exceed  $N_2$ , then M nodes are selected from the nodes  $R_{2,1}$  through  $R_{2,N_2}$ , and so on. The tree searches for M replacement sets start with the selected nodes.

If the number of simultaneous cache misses is greater than  $N_k$ , (the number of immediate parents of

the leaf nodes), the searches start with the leaf nodes.

Writing to the replacement sets can be done in parallel if each set has a separate write port.

5 Writing can be done in parallel even if a single write port address decoder is provided for each group 1 through N.

10 In some embodiments, cache 110 of Fig. 4 uses a tree-LRU replacement policy. More particularly, for each cache entry CE, each leaf node  $Rk.i$  selects the LRU set in the corresponding group of sets. In other words, each leaf node selects a set having the LRU data block in the corresponding entry in the corresponding group of sets. Each non-leaf node NLN selects an LRU  
15 group of sets and hence an LRU group of data blocks. More particularly, each immediate child of non-leaf node NLN is a root of a subtree. (The subtree may contain only one node if the child is a leaf.) All the leaf nodes of the subtree define a group G of the sets  
20 which are all the sets of all the groups corresponding to the leaves of the subtree. We will say that the group G corresponds to the root of the subtree. Thus, each child corresponds to a group of sets and hence to a group of blocks in cache entry CE. The non-leaf node  
25 NLN selects one of its immediate child nodes and hence selects one of the groups of blocks. The selected group of blocks is the LRU group of blocks.

Fig. 5 is a block diagram of a computer system 510 incorporating one embodiment of cache 110. Cache 110  
30 is a write-through data cache ("DCACHE") internal to a VLIW RISC processor 520. Processor 520 is shown also in Fig. 10 and described in the Appendix. Processor 520 includes instruction execution unit (IEU) 530. IEU 530 includes four ALUs (arithmetic logic units) ALU0  
35 through ALU3. The four ALUs provide four parallel execution channels 0 through 3 for arithmetic and logic



operations. IEU 530 includes four Array Access Channels AAC0 - AAC3 to generate array element addresses for loops. AAC0 and AAC2 are used only for memory load operations (operations that load data from external memory 550). AAC1 and AAC3 are used both for load and store operations.

In addition to arithmetic and logic operations, ALU1 and ALU3 are used to calculate addresses for scalar memory accesses.

Accordingly, IEU 530 has four channels 0 through 3 for communication with external memory 550 through external interface 540. Channels 1 and 3 are used both for reading and writing the memory. These channels go through cache 110. Channels 0 and 2 are used for reading only. These channels do not go through cache 110.

In IEU 530, channel 1 includes cache-hit input CH1, address-valid output V1, virtual-address output VA1, physical-address output PA1, data output D1, and data input CD1. Channel 3 includes cache-hit input CH3, address-valid output V3, virtual-address output VA3, physical-address output PA3, data output D3, and data input CD3. Ports CH1, V1, VA1, D1, CD1, CH3, V3, VA3, D3, CD3 are connected to cache 110. Ports PA1, PA3 are connected to external interface 540. Data on outputs D1, D3 are written to cache 110. These data are also written to memory 550 through external interface 540 and bus 554.

Channels 0 and 2 are not shown in Fig. 5. In IEU 530, channel 0 includes address-valid output V0 and physical-address output PA0. Channel 2 includes address-valid output V2 and physical-address output PA2. Ports PA0, PA2, V0, V2 are connected to external interface 540.

Channels 0-3 can be accessed in parallel.

External interface 540 and external memory 550 are interconnected by bus 554. Bus 554 includes four bidirectional channels that can access memory 550 in parallel. To write data to memory 550, the four  
5 channels of bus 554 can be multiplexed onto any one or more of channels 1 or 3. In particular, each of the four channels of bus 554 can communicate with one of the channels 1 or 3.

To read data from memory 550, CPU 520 has four  
10 parallel channels MD0 through MD3. Each channel MDi communicates with a respective one of the channels of bus 554. Channels MD0 through MD3 include outputs MD0 through MD3 in external interface 540. These outputs are connected to respective inputs MD0 through MD3 of  
15 IEU 530 and to respective inputs of cache 110. These inputs of cache 110 are illustrated in Fig. 9 as inputs of multiplexers 930.1 through 930.3.

Memory 550 includes a higher level cache in some embodiments.

20 Memory control logic external to processor 520 is not shown.

To read memory on channel 1 or 3, IEU 530 drives the memory virtual address on respective lines VA1 or VA3 and asserts the respective valid signal V1 or V3.  
25 If a cache hit occurs, cache 110 asserts respectively CH1 or CH3, and writes data to IEU 530 on respective lines CD1 or CD3. If a cache miss occurs, cache 110 asserts respective request signal RQ1 or RQ3 to external interface 540. IEU 530 provides the physical  
30 address on respective lines PA1 or PA3. In response, data from memory 550 are written to cache 110 and IEU 530 via one or more of the channels MD0-MD3.

Fig. 6, which includes Figs. 6A and 6B, is a diagram of a tag portion of cache 110. Cache 110 is a  
35 four-way set associative cache. Tag memories 610.0 through 610.3 (Fig. 6B) store tags 210 of respective

sets 0 through 3. Each memory 610.i includes two read ports and one write port. The address input of one of the read ports receives index portion I1 of address VA1 from IEU 530. The address input of the other read port receives index I3 of address VA3.

The outputs TM1, TM3 of memory 610.0 are connected to inputs of respective comparators 620.0.1, 620.0.3. The other input of comparator 620.0.1 is connected to the tag portion T1 of address VA1. The other input of comparator 620.0.3 is connected to tag portion T3 of address VA3. Thus, the output signal of comparator 620.0.1 indicates whether T1 is equal to the tag at entry number I1 in memory 610.0. Similarly, the output of comparator 620.0.3 indicates whether the tag T3 is equal to the tag at entry number I3 in memory 610.0.

In the same manner, the outputs TM1, TM3 of each memory 610.i are connected to inputs of respective comparators 620.i.1, 620.i.3. The other inputs of the two comparators are connected respectively to T1, T3. OR circuit 630.1 generates a signal h1. h1 is the OR of the outputs of comparators 620.i.1,  $i = 0, 1, 2, 3$ . AND gate 632.1 generates  $CH1 = h1 \text{ AND } V1$ . V1 is the address-valid output of IEU 530. Signal CH1 indicates whether a cache hit occurred on channel 1. Signal CH1 is delivered to input CH1 of IEU 530.

Similarly, circuit 630.3 generates signal h3 which is the OR of the outputs of comparators 620.i.3; AND gate 632.3 generates  $CH3 = h3 \text{ AND } V3$ . Signal CH3 indicates whether a cache hit occurred on channel 3. Signal CH3 is delivered to input CH3 of IEU 530.

Circuits 630.1, 630.3 also generate respective signals /h1, /h3 which are the complements of respective signals h1, h3. "/" before a signal name indicates a complement. AND gate 634.1 generates  $RQ1 = V1 \text{ AND } /h1$ . AND gate 634.3 generates  $RQ3 = V3 \text{ AND } /h3$ .

Four-bit signal S1 is composed of the outputs of four comparators 620.i.1. S1 indicates: 1) whether a cache hit occurred on channel 1, and 2) if the hit occurred, in which set it occurred. Similarly, signal S3 composed of the outputs of four comparators 620.i.3 indicates: 1) whether a cache hit occurred on channel 3; and 2) if the hit occurred, in which set it occurred. Signals S1, S3 are delivered to attribute and tag control (ATC) circuit 640 (Fig. 6A).

Attribute memory 650 (Fig. 6A) stores three attribute bits R0, R1, R2 for each cache entry. Memory 650 has two read ports and two write ports. Indices I1, I3 are connected to address inputs of the respective read ports of memory 650. Indices I1, I3 are connected also to the address inputs of the respective write ports of memory 650.

When the tag memories 610.i are read, attribute memory 650 is also read on both read ports. The attributes provided by memory 650 are delivered to ATC circuit 640.

Comparator 660 compares the tag T1 with the tag T3 and the index I1 with the index I3. Comparator 660 generates: 1) signal TEQ indicating whether  $T1 = T3$ ; and 2) signal IEQ indicating whether  $I1 = I3$ . Signals TEQ, IEQ are delivered to ATC circuit 640.

Circuit 640 receives also address-valid signals V1, V3 from IEU 530.

Write strobe output WS1 and attribute output AT1 of circuit 640 are connected to one write port of memory 650. Write strobe output WS3 and attribute output AT3 of circuit 640 are connected to the other write port of memory 650. When the write strobe outputs WS1 and/or WS3 are asserted, the attributes on the respective outputs AT1 and/or AT3 are written to memory 650 at addresses corresponding to respective indices I1 and/or I3.

Circuit 640 has four write strobe outputs TWS1 (Fig. 6A) connected to write strobe inputs of respective memories 610.0 through 610.3. Circuit 640 also has multiplexer control outputs MC1. One of the outputs MC1 is connected to select inputs of multiplexers 670I.1, 670T.1. The other one of outputs MC1 is connected to select inputs of multiplexers 670I.3, 670T.3. Two data inputs of multiplexer 670I.1 receive respective indices I1, I3. The output of multiplexer 670I.1 is connected to the address inputs of the write ports of memories 610.0, 610.1. Two data inputs of multiplexer 670I.3 receive respective indices I1, I3. The output of multiplexer 670I.3 is connected to the address inputs of the write ports of memories 610.2, 610.3.

Two data inputs of multiplexer 670T.1 receive respective tags T1, T3. The output of multiplexer 670T.1 is connected to the data inputs of the write ports of memories 610.0, 610.1. Two data inputs of multiplexer 670T.3 receive respective tags T1, T3. The output of multiplexer 670T.3 is connected to the data inputs of the write ports of memories 610.2, 610.3.

To write a tag into memory 610.0 or 610.1, circuit 640 causes multiplexer 670I.1 to select the address I1 or I3. Circuit 640 causes multiplexer 670T.1 to select the appropriate tag T1 or T3. Circuit 640 asserts a respective write strobe TWS1. Writing a tag into memory 610.2 or 610.3 is accomplished similarly via multiplexers 670I.3, 670T.3. Writing to memory 610.0 or 610.1 can proceed in parallel with writing to memory 610.2 or 610.3.

In a memory access operation, if a cache miss occurred, the tag write operation is delayed from the respective tag read. In some embodiments, the tag write is performed one or more clock cycles later than

the respective tag read; registers 950.1, 950.3 (Fig. 8) are used to delay the tag writes.

If a cache reload from external memory 550 is needed, the tags and the attributes are written immediately, before data arrive from memory 550. The data can arrive in parallel for channels 1 and 3.

Circuit 640 implements a tree-LRU replacement policy of Fig. 1. Fig. 7 illustrates operation of circuit 640 when: (a) V1 is asserted to indicate a memory access on channel 1; and (b) either V3 is deasserted (no access on channel 3), or V3 is asserted and the signal IEQ indicates that the indices I1, I3 do not coincide. Fig. 7 illustrates operations performed for the index I1. If V3 is asserted, similar operations are performed in parallel for the index I3.

As shown in Fig. 7, if the signal S1 indicates a set 0 hit on channel 1 (step 710), circuit 640 writes the attributes R0 = 1, R1 = 1, R2 = -> to memory 650 at address I1 (step 714). "->" means that R2 remains unchanged, that is, the new value of R2 is the old value read from memory 650.

Similarly, if signal S1 indicates a hit in set 1 (step 720), circuit 640 writes R0 = 1, R1 = 0, R2 = -> (step 724).

If S1 indicates a hit in set 2 (step 730), circuit 640 writes R0 = 0, R1 = ->, R2 = 1 (step 734). If S1 indicates a hit in set 3 (step 740), circuit 640 writes R0 = 0, R1 = ->, R2 = 0 (step 744).

If signal S1 indicates a cache miss on channel 1, and signal S3 indicates a cache miss on channel 3 (step 750), circuit 640 tests the bit R1 for index I1 (step 754). If R1 = 0, the replacement set for channel 1 is set 0. Under the control of circuit 640, tag T1 is written to memory 610.0 at address I1 (step 760).

In parallel with step 760, step 714 is performed to update the attributes as described above.

If  $R1 = 1$  at step 754, tag  $T1$  is written to set 1 (step 764). Step 724 is performed in parallel.

If there was no cache miss on channel 3, that is,  $V3$  was deasserted or  $V3$  was asserted and a cache hit occurred on channel 3, circuit 640 tests the bit  $R0$  (step 770) for index  $I1$ . If  $R0 = 0$ , control passes to step 754, and the operation proceeds as described above. If  $R0 = 1$ ,  $R2$  is tested (step 774). If  $R2 = 0$ , set 2 is the replacement set (step 780). Tag  $T1$  is written to set 2, and step 734 is performed in parallel. If  $R2 = 1$ , set 3 is the replacement set (step 784). Tag  $T1$  is written to set 3, and step 744 is performed in parallel.

If  $V3$  is asserted, and either  $V1$  is deasserted or  $I1$  and  $I3$  do not coincide, the operation of circuit 640 for channel 3 is similar to that illustrated in Fig. 7. However, if cache misses occur on both channels, then step 754 is not performed for index  $I3$ . Instead,  $R2$  is tested at step 774. If  $R2 = 0$ , steps 780 and 734 are performed for index  $I3$ . If  $R2 = 1$ , steps 784 and 744 are performed. Similarly to Fig. 7, step 754 is performed for index  $I3$  if there is no cache miss on channel 1 and  $R0 = 0$  for index  $I3$ .

If both  $V1$  and  $V3$  are asserted, the tag write operations for channels 1 and 3 are performed in parallel. The attributes in memory 650 are also updated in parallel.

If both  $V1$  and  $V3$  are asserted, the indices  $I1$  and  $I3$  coincide, but the tags  $T1$  and  $T3$  are different, circuit 640 operates as follows. If cache hits occur on both channels 1 and 3, circuit 640 generates new values for attributes  $R0$ ,  $R1$ ,  $R2$  for index  $I1=I3$  in accordance with Table 1 below. The first column of Table 1 shows the sets in which the hits occur. Thus, in the first line, both hits are in set 0. The new attribute values are  $R0 = 1$ ,  $R1 = 1$ ,  $R2 = ->$ . The next

line indicates the new attributes when the cache hits are in sets 0 and 1, and so on. "\*" means "don't care". The new attributes are written to one of the write ports of memory 650.

5

Table 1

Sets hit	New attrs.		
	R0	R1	R2
0	1	1	->
0, 1	1	*	->
0, 2	*	1	1
0, 3	*	1	0
1	1	0	->
1, 2	*	0	1
1, 3	*	0	0
2	0	->	1
2, 3	0	->	*
3	0	->	0

20

Table 2 shows the operation of circuit 640 when the indices I1 and I3 coincide, a hit occurs on one of channels 1 and 3 and, simultaneously, a miss occurs on the other one of channels 1 and 3. The first column shows the set in which the hit occurred. The third column shows the replacement set for the channel on which a miss occurred. The next two columns show the new values for attributes R1, R2 for the index I1. R0 is "don't care".

25

The second column shows the attribute tested to determine the replacement set and also to determine the new attribute values. For example, if the hit occurred in set 0, R2 is tested. If R2 = 0, the replacement set is set 2, and the new attribute values are R0 = \* ("don't care"), R1 = 1, R2 = 1. If R2 = 1, the

30



replacement set is 3, and the new attributes are  
 $R0 = *$ ,  $R1 = 1$ ,  $R2 = 0$ . The new attributes are written  
 to one of the ports of memory 650.

5

Table 2

Set hit	Old attr.	Rep. set		
			R1	R2
0	$R2 = 0$	2	1	1
	$R2 = 1$	3	1	0
1	$R2 = 0$	2	0	1
	$R2 = 1$	3	0	0
2	$R1 = 0$	0	1	1
	$R1 = 1$	1	0	1
3	$R1 = 0$	0	1	0
	$R1 = 1$	1	0	0

10

Table 3 illustrates the operation when cache  
 misses occur on both ports,  $I1 = I3$ , and  $T1$  is not  
 equal to  $T3$ . The replacement sets and the new  
 attribute values depend on the values of attributes  $R1$ ,  
 $R2$  listed in the first two columns of Table 3. The  
 third column shows the replacement sets. The first  
 replacement set is for channel 1. This set is  
 determined by attribute  $R1$ . The second replacement  
 set, for channel 3, is determined by attribute  $R2$ . The  
 new attributes  $R1$ ,  $R2$  are shown in the last two  
 columns.  $R0$  is "don't care". The new attributes are  
 written to one of the write ports of memory 650.

25

Table 3

Old attrs		Rep. sets	New attrs	
R1	R2		R1	R2
0	0	0, 2	1	1
0	1	0, 3	1	0
1	0	1, 2	0	1
1	1	1, 3	0	0

10 Figs. 8 and 9 show other details of cache 110 of Fig. 5. Cache 110 is a write-through 32 Kbyte cache with 128 entries. Each data block 220 (Fig. 2) is 64 bytes wide. Each data port D1, D3, CD1, CD3 and MD0 through MD3 (Fig. 5) is 64 bits wide. The word size is 15 32 bits. The cache access time is one clock cycle.

Each tag 210 (Fig. 3) includes: 1) bits [47:13] of the virtual address, and 2) context bits [11:0]. Index 310 includes bits [12:6] of the virtual address. Block offset 320 includes bits [5:0] of the virtual 20 address. Bits [5:3] define the double word being accessed. Bits [2:0] define a byte in the double word.

Fig. 9 illustrates data memories 910.0 through 910.3 that hold data blocks 220. Each memory 910.i holds data for the respective set 120.i.

25 Each memory 910.i is divided into four sections as shown by vertical lines in Fig. 9. The four sections correspond to four respective channels MD0-MD3. Each section has a separate write port. Four sections can be written from four respective channels MD0-MD3 in 30 parallel.

Each section holds two double words of each block 220 in the respective set. For each block 220, its eight double words 0 through 7 are arranged as shown for memory 910.0. More particularly, double words 0 and 4 are in section 0, double words 1 and 5 are in 35 section 1, double words 2 and 6 are in section 2, and

double words 3 and 7 are in section 3. The section is identified by bits [4:3] of the virtual address.

The 64-bit data inputs of the write ports of sections 0 of all memories 910.i are connected to the output of register 920.0. Similarly, the data inputs of the write ports of all sections 1 are connected to the output of register 920.1. The data inputs of the write ports of all sections 2 are connected to the output of register 920.2. The data inputs of the write ports of all sections 3 are connected to the output of register 920.3. Each register 920.i is 64 bits wide. The input of each register 920.i is connected to the output of respective multiplexer 930.i. Each multiplexer 930.i has three data inputs connected respectively to: 1) port D1 of IEU 530, 2) port D3 of IEU 530, and 3) port MDi of external memory 550 (Fig. 5).

Multiplexers 930.i are controlled by data cache control unit 940 (Fig. 8). Unit 940 includes circuits 640, 630.1, 630.3, 632.1, 632.3, 634.1, 634.3 (Fig. 6). Four different sections 0, 1, 2, 3 can be written simultaneously from registers 920.i. The four sections can be in the same memory 910.i or in different memories. When a memory 910 is accessed, index 310 and block offset 320 are supplied to the memory's address input. Unit 940 provides a separate write strobe for each section. One, two, three or four sections can be written at a time.

Loading data from external memory 550 to memories 910 is called a reload operation. Data are reloaded not necessarily in the order in which the data words appear in memory 550. In particular, if a reload was caused by a load operation, then the data requested by the load are reloaded first. If the requested data are not at the beginning of block 220, the data at the beginning of block 220 can be loaded later.

For each set 120.i, cache 110 includes also the following memories. These memories are shown in Fig. 8 for set 120.0 only:

1) V\_TAG includes a tag validity bit for each tag in the respective set 120.i. The V\_TAG memory has two read ports and two write ports. One read port and one write port are provided for each of channels 1 and 3.

2) V\_DATA has 8 bits [0:7] for each data block 220 in the respective set. Each of the 8 bits indicates whether a respective double word in the data block is valid. V\_DATA has three read ports and three write ports. One read port and one write port are provided for each of channels 1 and 3. In addition, a read port is provided for a reload operation to check if data has been already updated by a store issued after the reload request. If data has been updated before the cache is reloaded, the reload of the respective double word is aborted. Also, a write port is provided to set V\_DATA bits in a reload operation.

3) W\_DATA ("wait data") has a bit for each data block in the set to indicate if the entire data block 220 has been written in a reload operation. The W\_DATA memory has two read ports and six write ports. One read port and one write port are provided for each of channels 1 and 3. In addition, four write ports are provided for the four channels MD0 through MD3 in order to reset the W\_DATA attributes at the end of a reload operation since in a reload the last double word of the block may come from any memory channel.

The outputs of memories V\_DATA and W\_DATA are connected to unit 940.

The channel-1 output of memory V\_TAG of each set 120.i is connected to respective comparator 620.i.1. The channel-3 output of V\_TAG is connected to respective comparator 620.i.3. If a V\_TAG output shows

an invalid tag, the output of the respective comparator indicates that the comparator inputs do not match.

Fig. 8 shows registers 950.1, 950.3 omitted for simplicity from Fig. 6. In Fig. 8, multiplexer 670.1 is a combination of multiplexers 670I.1, 670T.1 of Fig. 6B. Multiplexer 670.3 is a combination of multiplexers 670I.3, 670T.3 of Fig. 6B. The outputs of multiplexers 670.1, 670.3 are connected to respective registers 950.1, 950.3. The output of register 950.1 is connected to memories 610.0, 610.1. The output of register 950.3 is connected to memories 610.2, 610.3.

All registers 950.i, 920.j (Fig. 9) are clocked by the same clock.

Each memory 910.i has two read ports for respective channels 1 and 3. Both read ports can be read simultaneously. The outputs of the channel-1 read ports of memories 910.i are connected to the respective four data inputs of multiplexer 960.1. The channel-3 outputs are connected to respective data inputs of multiplexer 960.3. The select inputs of multiplexers 960.1, 960.3 are connected to respective outputs S1, S3 of comparators 620.i.j (Fig. 6B). The output of multiplexer 960.1 is connected to input CD1 of IEU 530. The output of multiplexer 960.3 is connected to input CD3 of IEU 530. The data on channels 1 and 3 can be provided by memories 910 simultaneously.

When cache 110 needs to issue a request to access external memory 550 (to perform a memory store or a reload), unit 940 asserts signals on output RQ1 (Fig. 5) for channel 1 or output RQ3 for channel 3. If cache misses occurred on channels 1 and 3 simultaneously, the requests to access memory 550 are issued on outputs RQ1, RQ3 (i.e., on channels 1 and 3) simultaneously if they relate to different data blocks. If both cache misses are in the same data block, one request for a data block is issued to memory 550 on one of channels 1

and 3, using the respective one of outputs RQ<sub>1</sub>, RQ<sub>3</sub>.  
In response, memory 550 returns the double word in  
which one of the cache misses occurred. This double  
word is loaded into cache 110 and register file RF.  
5 The other 7 double words are returned at the same time  
or later. In parallel with the data block request on  
one of channels 1 and 3, the other one of channels 1  
and 3 is used to request the double word in which the  
other cache miss occurred. The double word for the  
10 other cache miss is loaded into the register file RF  
(Fig. 10) in IEU 530. The parallel requests on  
channels 1 and 3 facilitate making the cache non-  
blocking and serve to increase the processor  
performance in non-blocking cache embodiments. In non-  
15 blocking embodiments, a cache miss on channel 1 or 3  
does not prevent a concurrent cache access on the other  
one of channels 1 and 3; also, if a cache miss occurs  
on channel 1 or 3, succeeding accesses to the cache on  
the same channel are not blocked; these accesses can  
20 proceed while data are reloaded in response to the  
cache miss.

Unit 940 also receives a memory response for  
channels MD0-MD3. The memory response includes the  
index and the set number for the cache 110. The index  
25 and the set number are sent to memory 550 with a memory  
request. The index and the set number are returned by  
memory 550 with the data.

If a cache reload is caused by a load operation,  
the corresponding tag valid bit V\_TAG and wait data bit  
30 W\_DATA are set to 1, and the data valid bits V\_DATA  
[0:7] are set to 0 for the corresponding data block.  
External interface 540 sends to memory 550 a request  
for 8 words, a DCACHE data field flag (this flag means  
a request for a block of 8 words for cache 110), the  
35 respective index I1 or I3, and the replacement set  
number (0, 1, 2, or 3). As data come from memory 550.

the corresponding V\_DATA bits are set to 1. The data can be read from cache 110 as soon as they are written from memory 550, before the entire block is written. When the whole block is written, the corresponding  
5 W\_DATA bit is set to 0. If a load operation gets a cache hit but the corresponding V\_DATA bit is 0, a request for one double word goes to memory 550.

In a memory store operation, a byte, a half word, a word or a double word is written to memory 550 and,  
10 in case of a cache hit, to cache 110. In a double word store, the double word and the tag are also written to cache 110 in case of a cache miss. The corresponding bits V\_TAG, W\_DATA and V\_DATA are set to 1. The remaining seven V\_DATA bits are set to 0. A request  
15 for seven words is issued to memory 550.

If store operations are performed simultaneously on channels 1 and 3, and they hit the same section or they hit sections having the same section number, then the cache data corresponding to one of the two store  
20 operations is invalidated. Invalidations are performed by resetting the corresponding bits in the V\_DATA memory.

A data block can be replaced only if its W\_DATA is 0. The replacement block is selected from the blocks  
25 having W\_DATA = 0. If such a block is not found, the data are not cached.

Processor 520 includes a memory management unit (MMU) which includes a 4-port data translate look-aside buffer (DTLB) to speed up virtual-to-physical address  
30 translation. TLBs are known in the art. See, for example, B. Catanzaro, "Multiprocessor System Architectures" (Sun Microsystems, Inc. 1994) hereby incorporated herein by reference, at page 96. Unit 940 receives MMU signals for channels 1 and 3. In  
35 addition, unit 940 receives the following signals for channels 1 and 3:

1) TLB\_hit indicating whether DTLB was hit during the channel access.

2) CACHEABLE indicates whether the channel data can be cached.

5        3) GLOBAL - If this flag is set, the context fields in tag memories 610 and in virtual addresses VA1, VA3 are ignored during the tag search.

10        4) VECTOR indicates whether the channel data are vector or scalar. Cache 110 is used only for scalar data.

      If cache 110 is hit and the DTLB is missed, the cache location is invalidated.

      Two or more virtual addresses can be mapped to the same physical address. This is called aliasing. To  
15        maintain cache consistency, page table entries contain an alias attribute which shows if the virtual page has an alias. DTLB entries have an alias mark showing if the corresponding pages have an alias. If virtual  
20        pages are aliases of one another, their data are cached in the same set. Of note, index 310 (Fig. 3) is a subset of a page offset. Therefore, data from a given physical location in a page that has aliases is always cached in the same location in cache 110.

25        When an alias is created and an alias attribute is turned on in a page table, software is responsible for flushing cache 110.

      While the invention was illustrated with respect to the embodiments described above, the invention is not limited by these embodiments. In particular, the  
30        invention is not limited by the type of information cached in the cache. Some cache embodiments store both instructions and data, or only instructions. Vector data are cached in some cache embodiments. In some  
35        embodiments, the cache is accessed using physical rather than virtual addresses. In some embodiments, the cache is fully associative--data can be cached in



any cache entry. The invention is not limited to write-through caches or to LRU type replacement policies. Other embodiments and variations are within the scope of the invention, as defined by the appended

5 claims.

APPENDIX

VLIW CPU 520 of Fig. 10 uses Instruction Level Parallelism (ILP) to ensure high performance. The compiler can plan CPU work in each cycle. CPU 520 can  
5 execute concurrently a few simple independent instructions (operations) that constitute a wide instruction (load, store, add, multiply, divide, shift, logical, branch, etc.). Wide instructions are stored in memory and in an instruction cache (ICACHE) in  
10 packed form as sets of 16 and 32 bit syllables. An operation can occupy a part of syllable, a whole syllable, or several syllables.

CPU 520 contains an Instruction Buffer (IB), a Control Unit (CU), a multiport Predicate File (PF), a  
15 multiport Register File (RF), a Calculate Condition Unit (CCU), a Data Cache 110 (DCACHE), four Arithmetic Logic Units (ALU0 - ALU3), an Array Prefetch Buffer (APB), four Array Access Channels (AAC0 - AAC3), a Memory Management Unit (MMU) and a Memory Access Unit  
20 (MAU).

The Instruction Buffer (IB) contains 2048 64-bit double words and is divided into 16 sectors. Program code and data are accessed using virtual memory. IB has a separate Instruction Translate Lookaside Buffer  
25 (ITLB) with 32 entries. IB filling is initiated by hardware for sequential instruction flow when sequential instructions are exhausted in IB and by a program when a prepare control transfer operation is executed. IB performs program code filling for three  
30 branches. In the case of an IB miss the program code is loaded from memory by 4 memory access channels in parallel (4 64-bit double words simultaneously). Control Unit (CU) reads from IB and dispatches one maximum size wide instruction (8 64-bit double words)  
35 every cycle.

The Control Unit generates an unpacked form of a wide instruction, converts indirect based operand addresses for a wide instruction to absolute register file addresses, and checks the following conditions for

5 wide instruction:

- no exceptions,
- no interlock conditions from other units of CPU,
- operands availability in RF.

10 CU issues wide instruction's operations for execution and performs the following:

- reads up to 10 operands from RF to ALU0 - ALU3,
- reads up to 3 predicate values from PF to CU as condition code for control transfer operations,
- reads up to 8 predicate values from PF to CCU for new predicate values calculation and generation of a mask of conditional execution of operations in ALU0 - ALU3 and AAC0 - AAC3,
- issues literal values to ALU0 - ALU3 and AAC0 - AAC3,
- issues up to 4 operations to ALU0 - ALU3,
- issues up to 4 operations to AAC0 - AAC3,
- issues up to 11 operations to CCU,
- issues a prepare control transfer operation to CU,
- checks the possibility of the execution of three control transfer operations in CU.

30 The Predicate File (PF) is a storage of predicate values generated by integer and floating point compare operations. Predicate values are used to control the conditional execution of operations. The Predicate File contains 32 two-bit registers.

35 The Calculate Condition Unit (CCU) generates a mask for the conditional execution of ALUi and AACi

operations and calculates values of the secondary predicate as a function of the primary predicates.

The Register File (RF) contains 256 66-bit registers and has 10 read ports and 8 write ports. All 10 read ports are used to read ALU operands and 2 read ports are used to read values to DCACHE 110 and MMU when these values are being written to memory. 4 write ports are used to write ALU results and other 4 write ports are used to write values loaded from memory.

ALU0 - ALU3 are 4 parallel execution channels and have almost the same sets of arithmetic and logic operations. In addition, ALU1 and ALU3 are used to calculate addresses for scalar memory accesses. All ALUs get their operands from RF and via a bypass. The bypass reduces the time of delivery of ALU operation results to subsequent operations. ALU0 and ALU2 get 2 operands and ALU1 and ALU3 get 3 operands because they can execute combined 3-argument operations. ALU operation results are written to RF through 4 RF write channels.

The Array Access Channels AAC0 - AAC3 are 4 parallel channels for generation of array element address for loops. Each AACi contains 8 pairs of address registers. Each pair includes a current address register and an increment register. All AACi have the same operation set: the current array element address generation (with or without the next element address calculation). For memory accesses, one pair of address registers in each channel is used in every cycle. AAC0 and AAC2 are used only for load memory accesses, AAC1 and AAC3 are used for load and store memory accesses.

The Memory Management Unit contains 4-port Data Translate Lookaside Buffer (DTLB) with 64 entries and performs hardware searches in a Page Table in DTLB miss

cases. In addition, MMU contains Disambiguation Memory for checking latencies of load and store operations.

The Memory Access Unit contains an entry buffer for memory requests and a cross bar of 4 data and 1  
5 group IB memory access channels to 4 physical memory channels. 2 least significant bits of physical addresses are the physical memory channel number.

The DCACHE 110 output is combined with the ALU output. This permits to use bypass to reduce data  
10 transfer to ALUs.

The Array Prefetch Buffer is used to prefetch array elements for loops from memory. APB is a 4-channel FIFO buffer. APB contains 4x48 66-bit  
15 registers. Data are transferred from APB to RF when ready.

CPU 520 has 4 memory access channels. Each channel has a 64 bit data path.

"MX" means a multiplexer.

CLAIMS

1. A multi-port cache system comprising:  
a plurality of sets, each set comprising a memory  
for caching one or more units of information;  
5 a memory for storing one or more data trees for  
selecting, from the sets, replacement sets in which  
units of information are to be cached, wherein each  
leaf node in each tree corresponds to a group of one or  
more of the sets, and each leaf node is for selecting a  
10 replacement set in the corresponding group of the sets,  
wherein each tree is suitable for being searched from  
any node to a leaf node to select a replacement set,  
each non-leaf node to specify its child node to which  
the search is to proceed;  
15 a plurality of ports for accessing the cache; and  
a circuit for determining a number  $U1$  of new units  
of information that are to be cached in response to  
cache misses occurring simultaneously on one or more of  
the ports, and for searching one or more of the trees  
20 for at least  $N1$  replacement sets to cache the  $U1$  units  
of information, wherein  $N1 > 0$ , and wherein if  $U1 > 1$   
then  $N1 > 1$  and the circuit starts a search for each of  
 $N1$  replacement sets from a separate one of the tree  
nodes.  
25
2. The cache system of Claim 1 wherein each  
group of sets comprises at least one write port to  
write to one or more sets of the group, wherein writing  
to different write ports can proceed simultaneously.  
30
3. The cache system of Claim 1 wherein each set  
comprises a write port, and writing to different sets  
through their respective write ports can proceed  
simultaneously.  
35

4. The cache system of Claim 1 wherein the groups corresponding to different leaf nodes of any one of the trees do not intersect.

5. The cache system of Claim 1 wherein  $N1 = U1$  and the number of ports does not exceed the number of leaf nodes in any one of the trees.

6. The cache system of Claim 1 wherein each set comprises a plurality of slots, each slot for storing a block of information, wherein all the slots having the same address in all the sets form an entry, and the one or more trees comprise a separate data tree for each entry.

15

7. The cache system of Claim 6 wherein: in each data tree, each leaf node is to select the least recently used slot in the corresponding entry; and

20 each non-leaf node corresponds to a group of sets which are all the sets in all the groups corresponding to all leaf children of the non-leaf node, and the non-leaf node defines a group of slots which are all the slots in the corresponding group of sets in the corresponding entry, and each non-leaf node is to specify its immediate child node defining the least recently used group of slots among all the groups defined by the immediate children of the non-leaf node.

30 8. A computer system comprising the cache of Claim 1 and one or more instruction execution channels, wherein each execution channel is connected to a separate one of the ports for accessing the cache.

35 9. A method for providing a multi-port cache system, the method comprising:

- providing a plurality of sets, each set comprising a memory for caching one or more units of information;
- providing a memory for storing one or more data trees for selecting, from the sets, replacement sets in which units of information are to be cached, wherein each leaf node in each tree corresponds to a group of one or more of the sets, and each leaf node is for selecting a replacement set in the corresponding group of the sets, wherein each tree is suitable for being searched from any node to a leaf node to select a replacement set, each non-leaf node to specify its child node to which the search is to proceed;
- providing a plurality of ports for accessing the cache; and
- providing a circuit for determining a number  $U1$  of new units of information that are to be cached in response to cache misses occurring simultaneously on one or more of the ports, and for searching one or more of the trees for at least  $N1$  replacement sets to cache the  $U1$  units of information, wherein  $N1 > 0$ , and wherein if  $U1 > 1$  then  $N1 > 1$  and the circuit starts a search for each of  $N1$  replacement sets from a separate one of the tree nodes.
10. A method for caching information in a multi-port cache comprising a plurality of sets stored in a memory, the method comprising:
- selecting  $M$  nodes in one or more tree data structures stored in a memory, where  $M$  is a number of cache misses that occurred simultaneously;
- for each selected node, searching a tree of children of the selected node to determine a leaf node;
- for each leaf node determined as a result of a search, using a set selected by the leaf node as a replacement set for a respective cache miss.



11. The method of Claim 10 wherein  $M > 1$  and the method further comprises simultaneous writing to the replacement sets to update the cache.

5        12. The method of Claim 11 wherein each set comprises a write port, and simultaneous writing to the replacement sets proceeds through a plurality of the write ports of the replacement sets.

10        13. The method of Claim 10 wherein each set comprises a tag memory comprising a single write port, and simultaneous writing to the replacement sets comprises simultaneous writing of tags through a plurality of the write ports of the tag memories.

15

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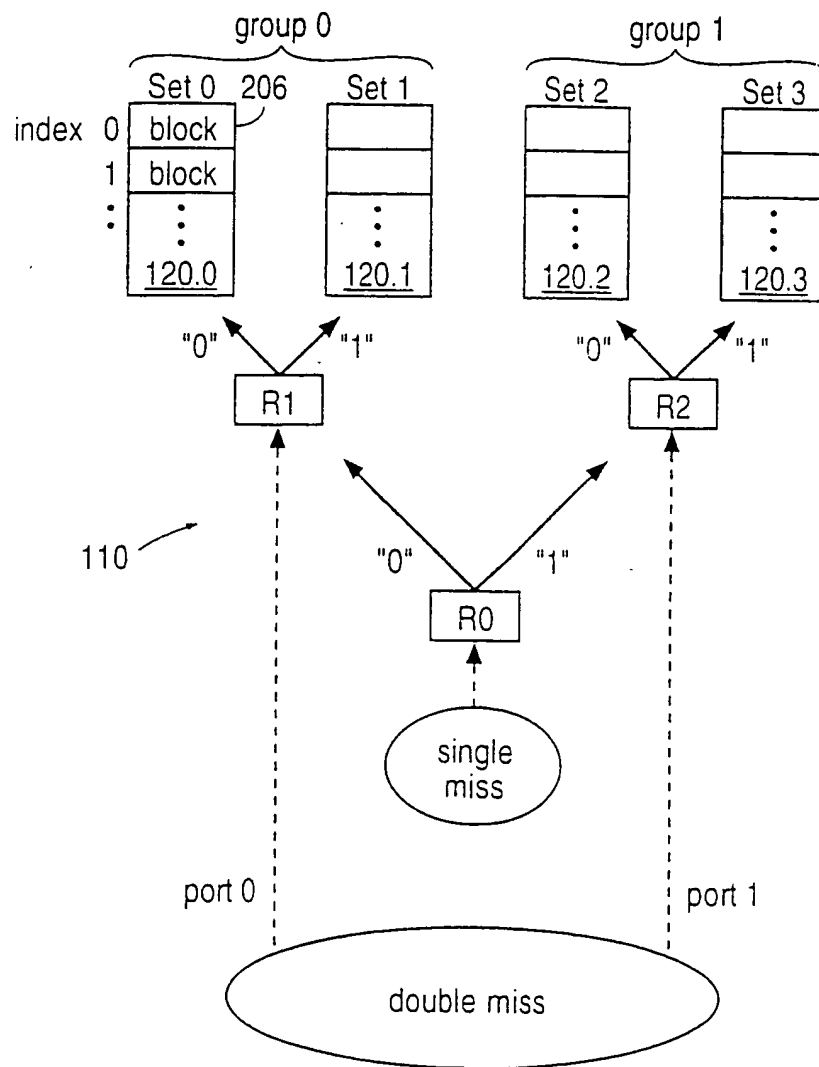


FIG. 1

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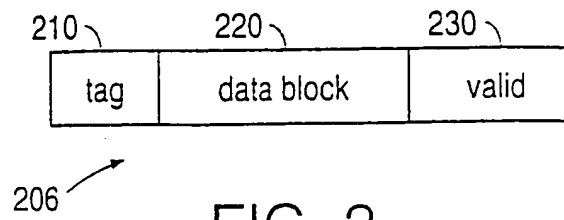


FIG. 2

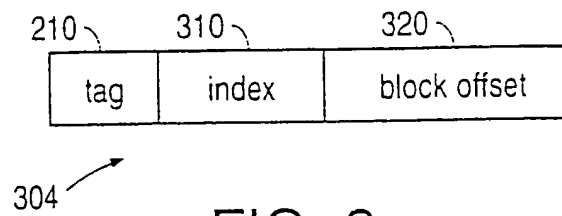


FIG. 3

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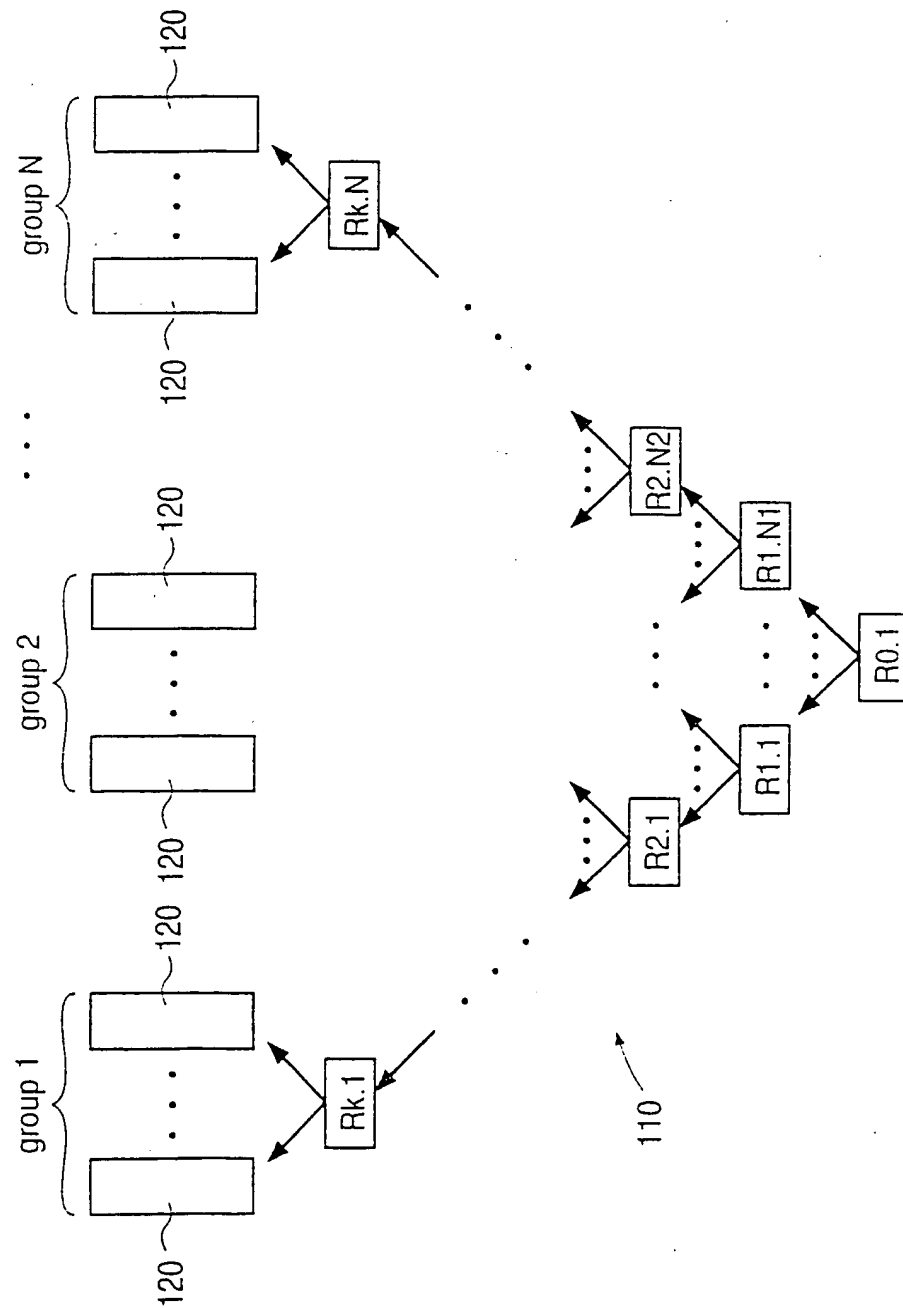


FIG. 4

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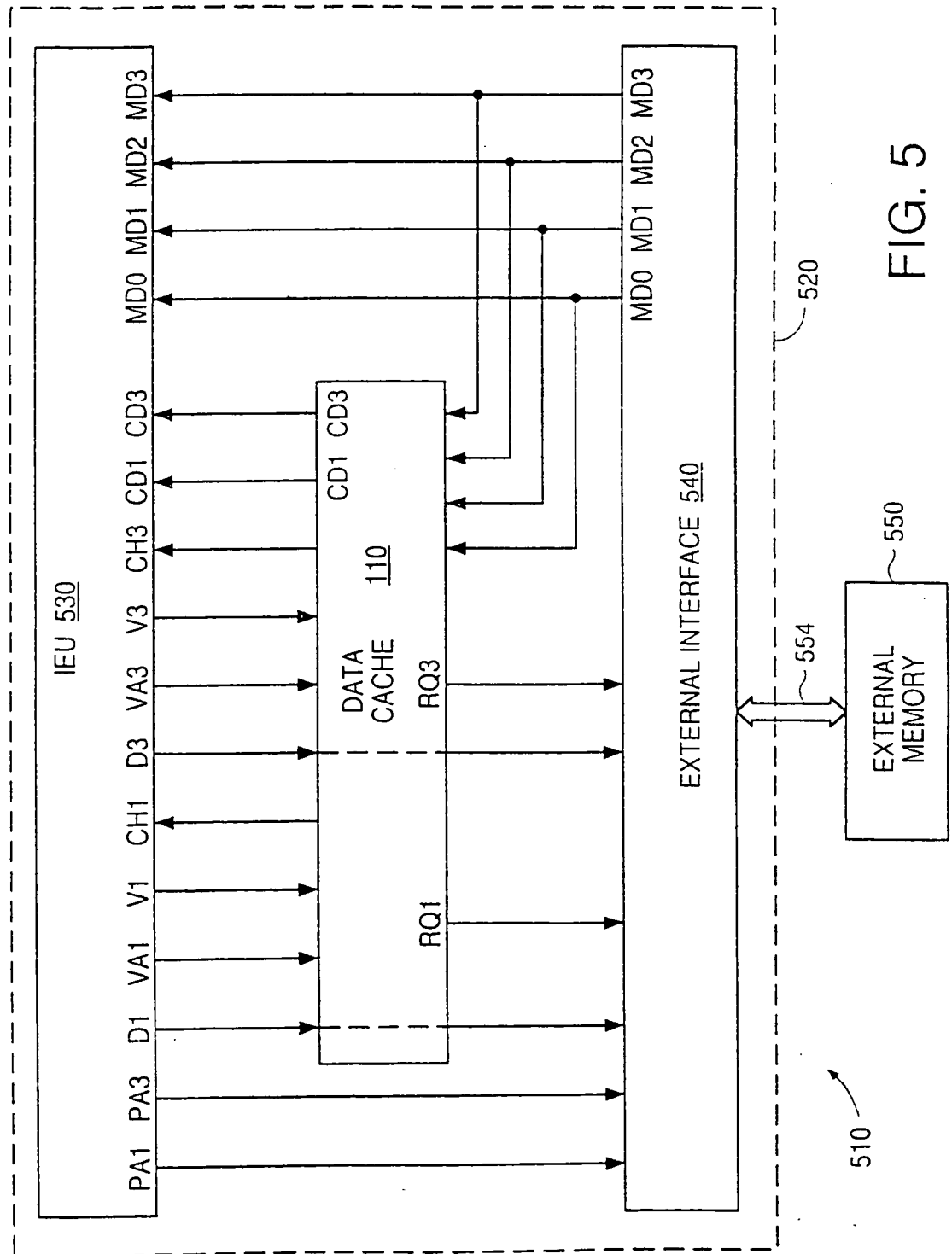


FIG. 5

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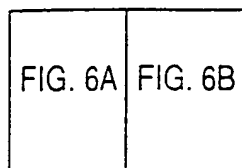
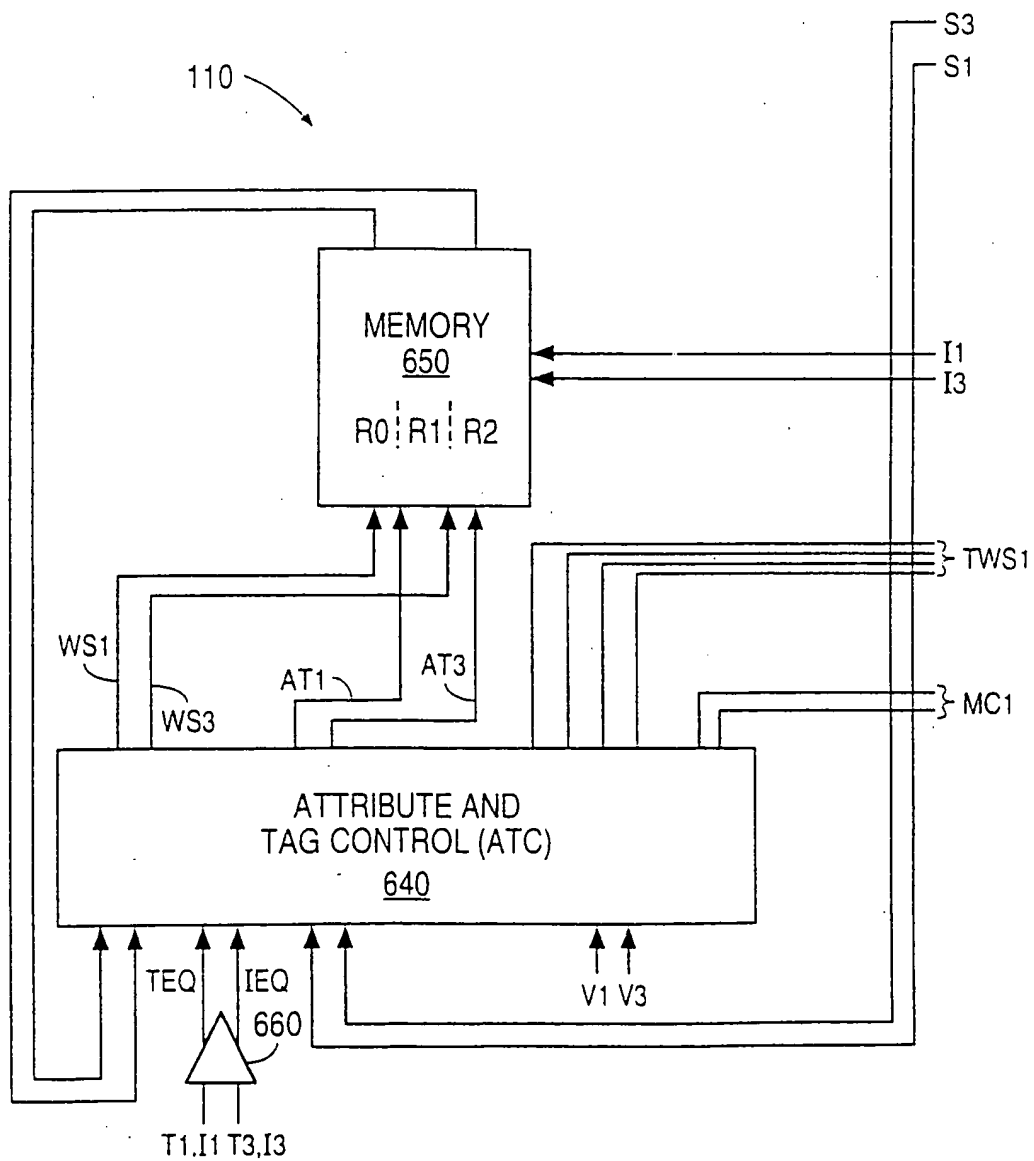


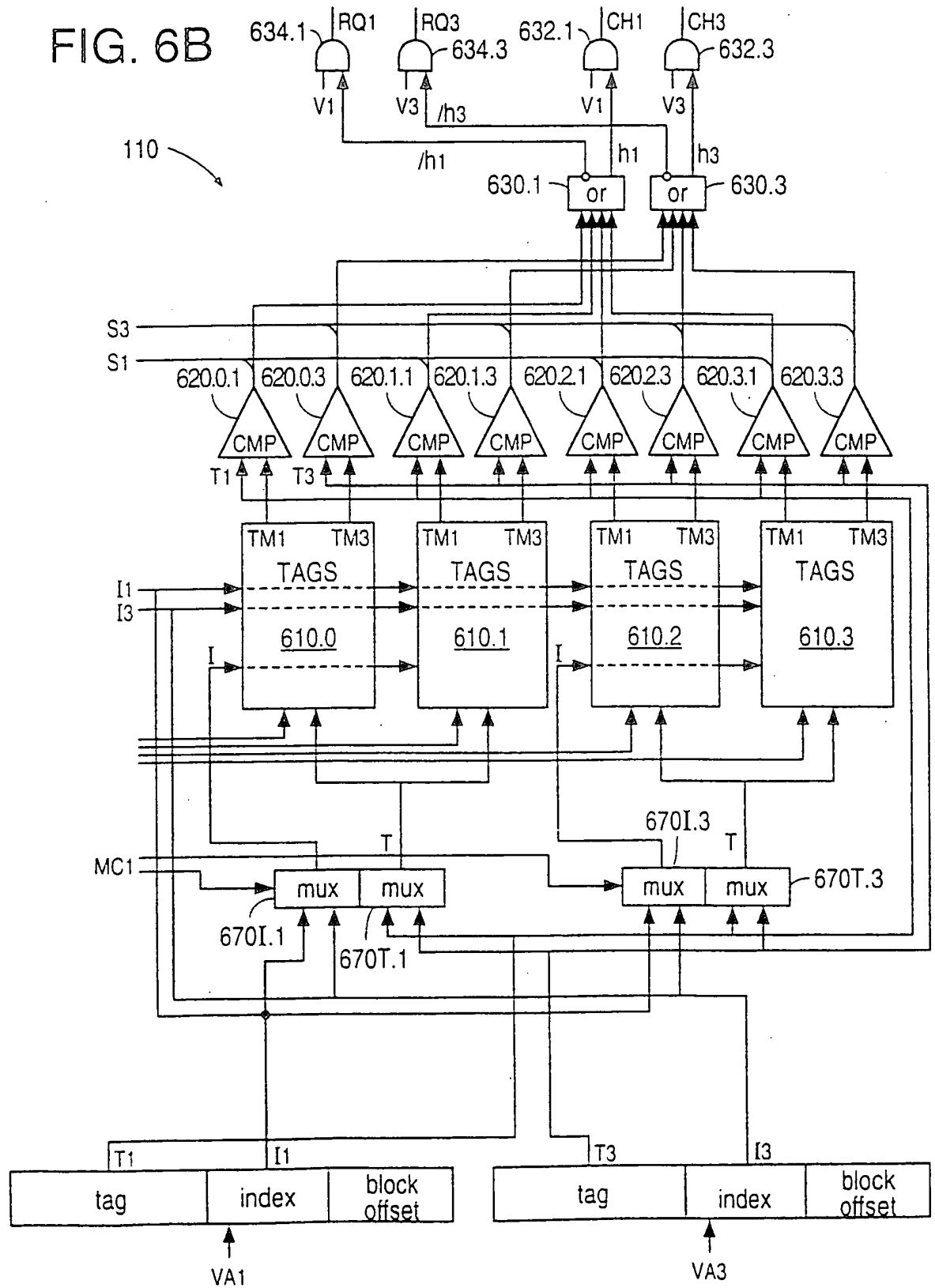
FIG. 6

FIG. 6A



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FIG. 6B



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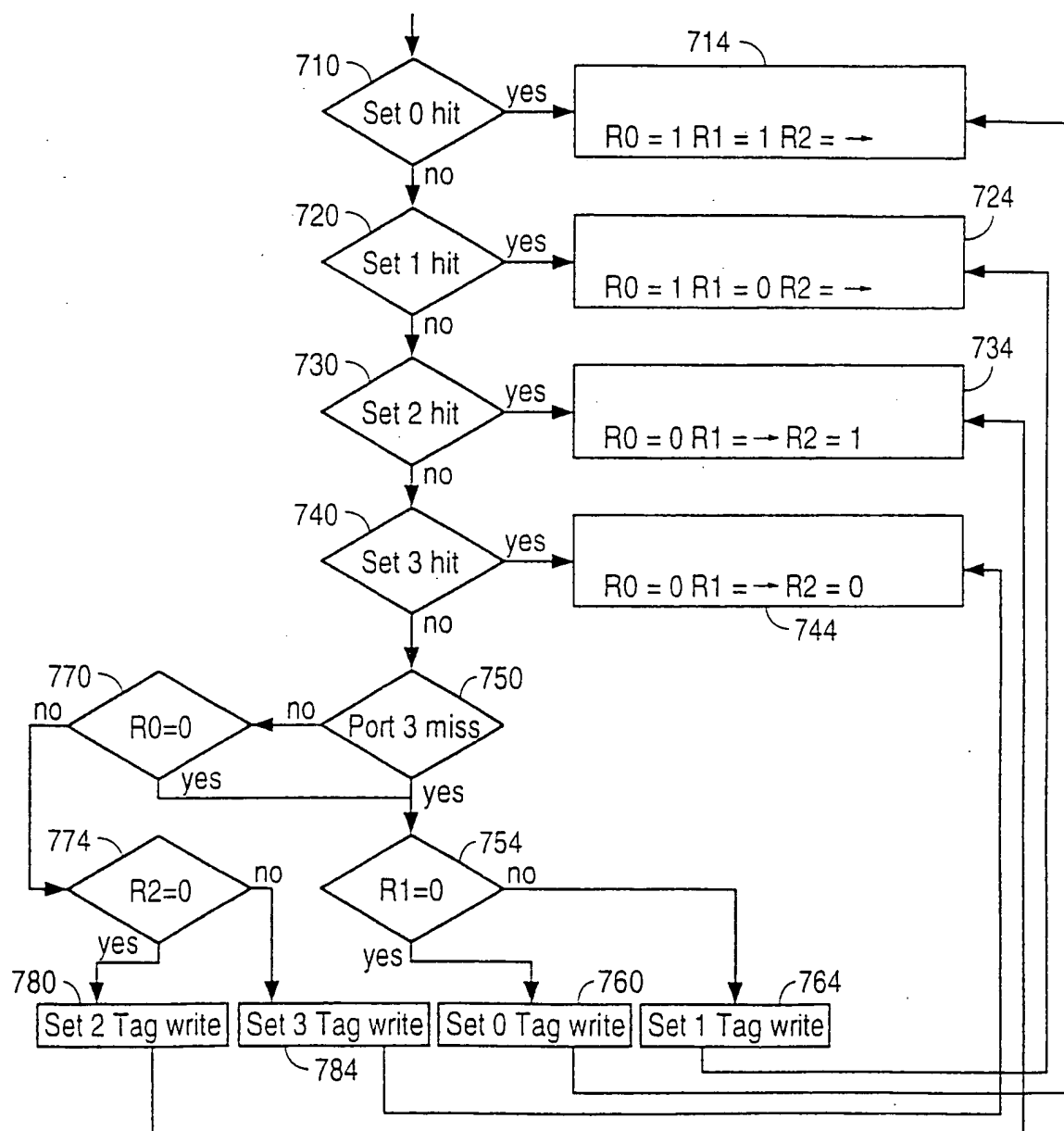


FIG. 7



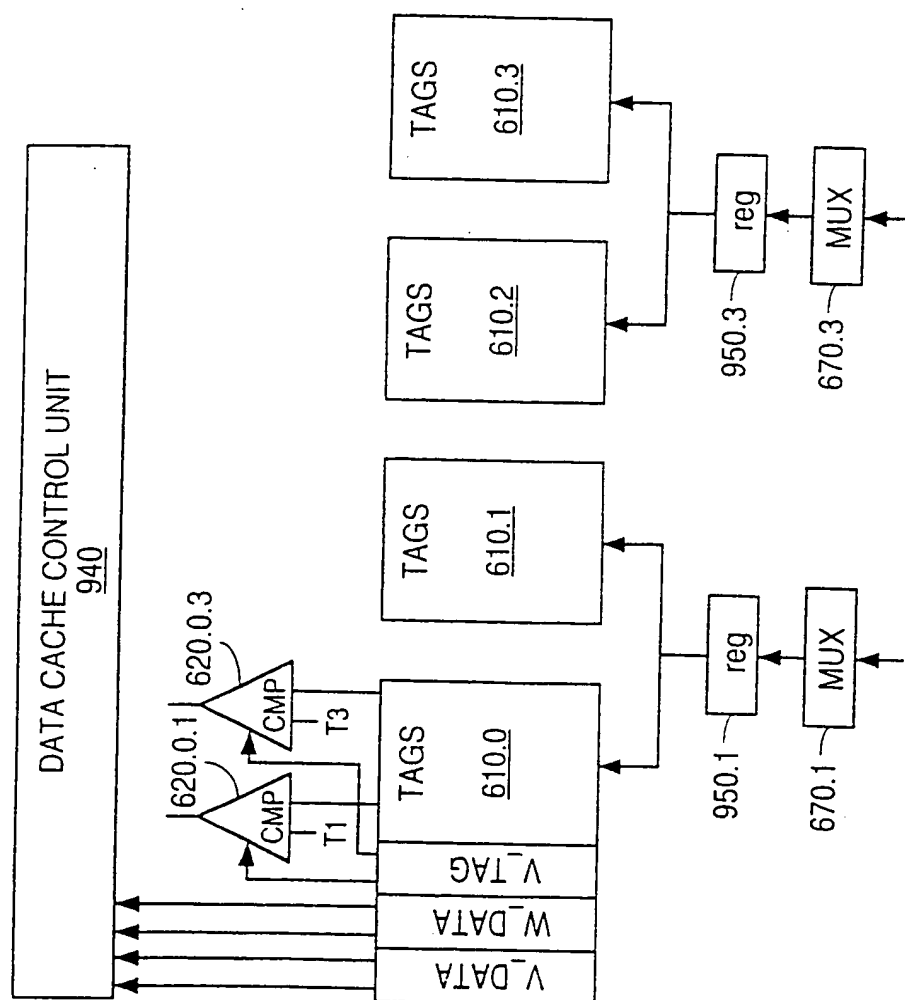


FIG. 8

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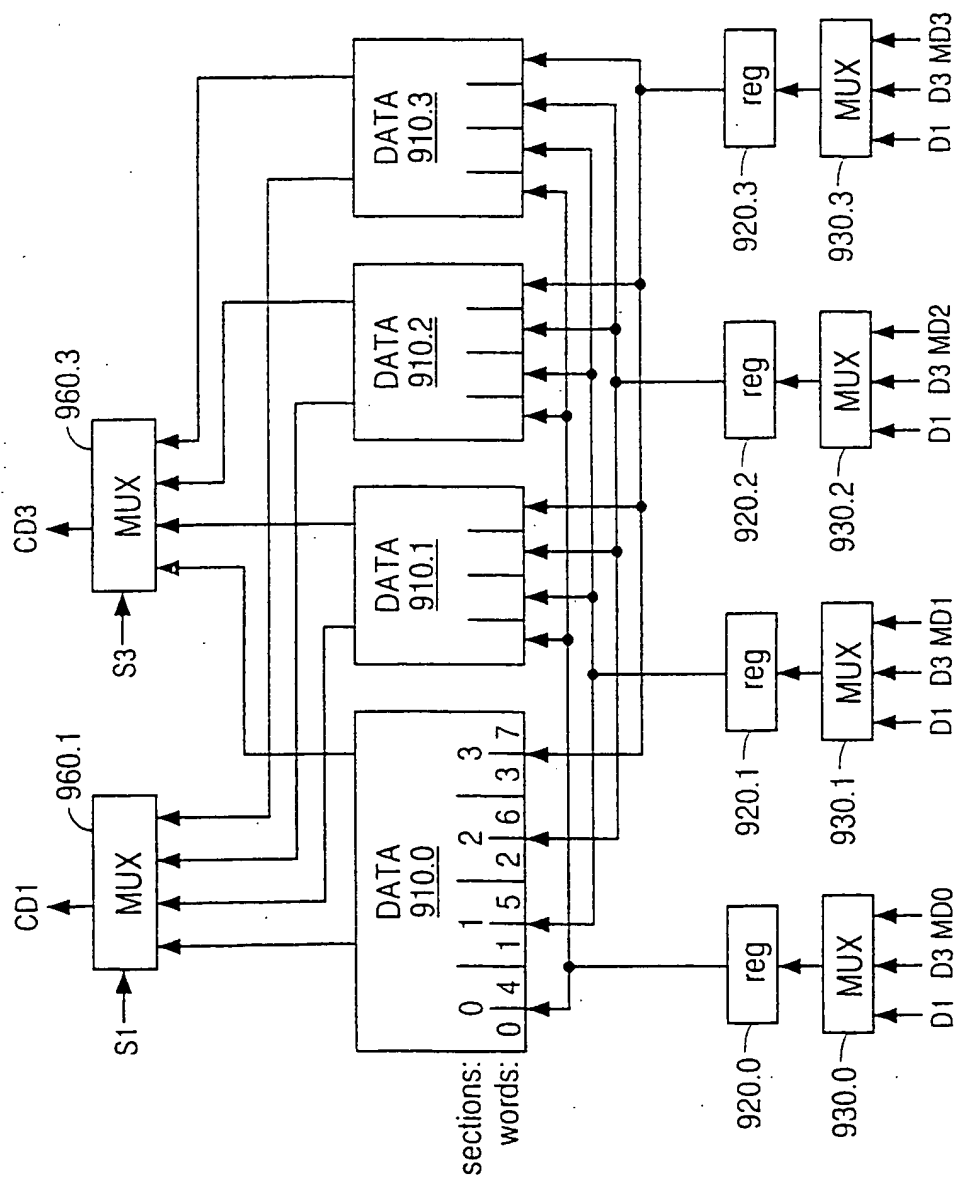
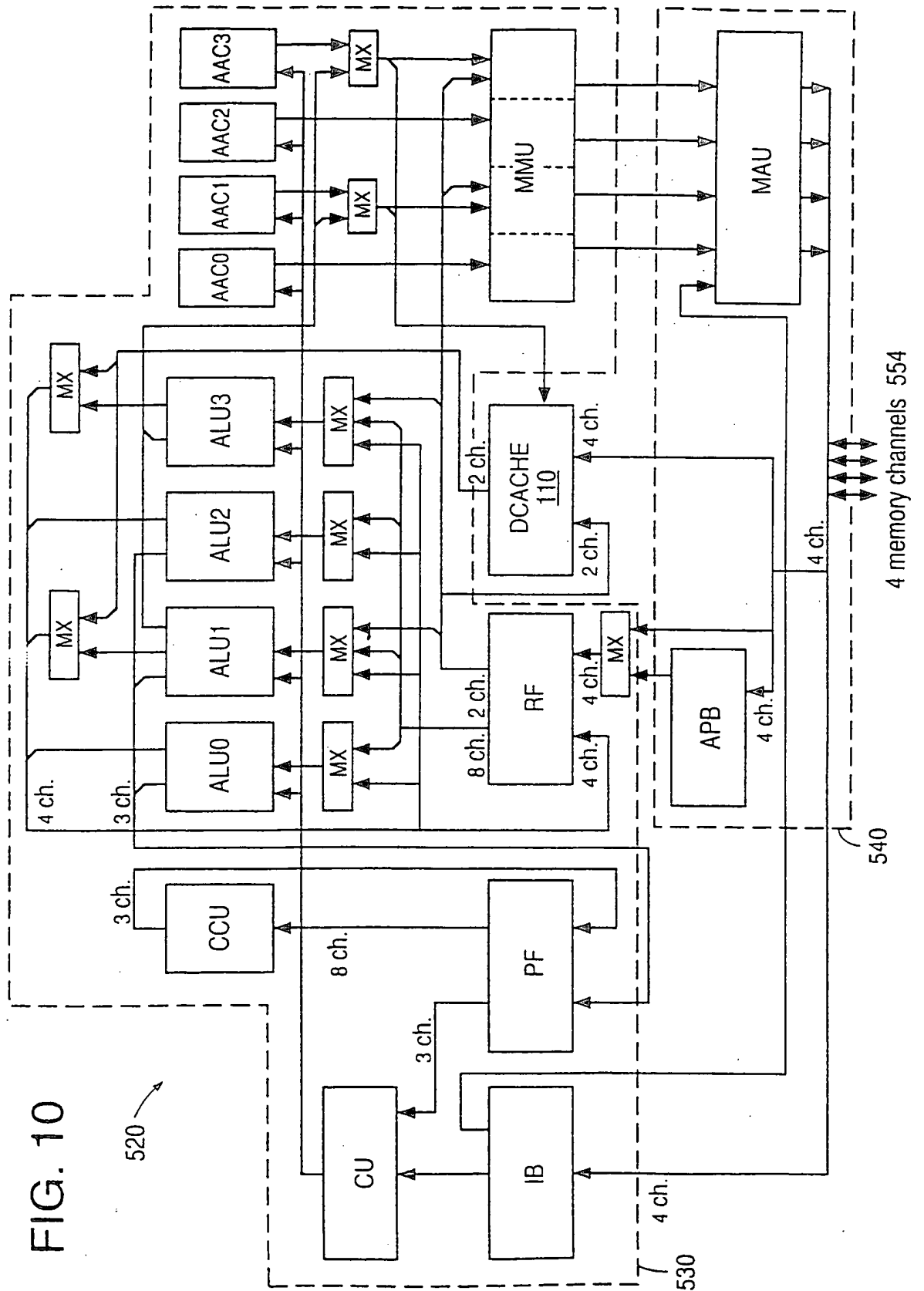


FIG. 9

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FIG. 10



# INTERNATIONAL SEARCH REPORT

International application No. 96/00282

PCT/RU 96/00282

## A. CLASSIFICATION OF SUBJECT MATTER

G11C 15/00, G06F 15/16

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G11C 15/00, 19/00, G06F 15/00, 15/16

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched:

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
A	SU, A1, 1478257 (G.A.BORODIN et al), 07 May 1989 (07.05.89)	1-7,10
A	SU, A1, 1824651 (NAUCHNO-ISSEDOVATELSKY INSTITUT "PASSVET"), 30 June 1993 (30.06.93)	1-7,10
A	US, A, 4833642 (NEC CORPORATION), 23 May 1989 (23.05.89), columns 1-4	1-7
A	US, A, 5228135 (KABUSHIKI KAISHA TOSHIBA), 13 July 1993 (13.07.93), abstract	1-3
A	US, A, 4236227 (HONEYWELL INFORMATION SYSTEMS INC.), 25 November 1980 (25.11.80), fig. 1.4; columns 1.2	1,10-13
A	SU, A1, 1436714 (V.I.KOBOZEV et al), 31 October 1986 (31.10.86)	1-2,8

\* Further documents are listed in the continuation of Box C.

See patent family annex

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document but published on or after the international filing date	"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document with may throw doubts on priori claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
29 April 1997(29.04.97)

Date of mailing of the international search report  
29 May 1997(29.05.97)

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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/RU 96/00282

## C. (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
A	US. A. 4961162 (INTERNATIONAL BUSINESS MACHINES CORPORATION), 02 October 1990 (02.10.92)	1-4,8,9,10-13
A	SU. A1 1633417 (V.D.SKOPACHEV et al), 07 March 1991 (07.03.91)	8